STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT
State/Territory: OHIO

LIENS AND ADJUSTMENTS OR RECOVERIES

Citation:
42 CFR 433.36(c)
1902(a)(18) and
1917(a) and (b) of the Act

4.17 Liens and Adjustments or Recoveries

(a) Liens (imposition of lien against property of an individual on account of medical assistance rendered to him under state plan)

X The State imposes liens against an individual’s real property on account of medical assistance paid or to be paid.

The State complies with the requirements of section 1917(a) of the Act and regulations at 42 CFR 433.36(c)-(g) with respect to any lien imposed against the property of any individual prior to his or her death on account of medical assistance paid or to be paid on his or her behalf.

X The State imposes liens on real property on account of benefits incorrectly paid.

The procedures by the State for determining that an institutionalized individual cannot reasonably be expected to be discharged are specified in Attachment 4.17-A. (NOTE: If the State indicates in its State Plan that it is imposing TEFRA liens, then the State is required to determine whether an institutionalized individual is permanently institutionalized and afford these individuals notice, hearing procedures and due process requirements.)

X The State imposes liens on real property of an individual after the individual’s death except as provided in (b)(4).

TN No. 07-007 Supersedes Approval Date DEC 21 2007 Effective Date: 09/01/07
TN No. 01-002

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4.17 Liens and Adjustments or Recoveries, continued

(b) Adjustment or Recoveries. [Adjustment or recovery of medical assistance correctly paid under a State plan]

The state complies with the requirements of section 1917(b) of the Act and regulations at 42 CFR 433.36 (h)-(i).

Adjustments or recoveries for Medicaid claims correctly paid are as follows:

1917(b)(1)(A)

(1) For permanently institutionalized individuals, adjustments or recoveries are made from the individuals' estate or upon sale of the property subject to a lien imposed because of medical assistance paid on behalf of the individual for services provided in a nursing facility, ICF/MR, or other medical institution, including assistance furnished prior to the time the state determines the individual to be permanently institutionalized.

(2) The State determines "permanent institutional status" of individuals under the age of 55 other than those with respect to whom it imposes liens on real property under § 1917 (a) (1) (B) (even if it does not impose those liens),

Approval Date: DEC 21 2007 Effective Date: 09/01/07
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1917(b)(1)(B) (3) For any individual who received medical assistance at age 55 or older, adjustments or recoveries of payments are made from the individual’s estate for nursing facility services, ICF/MR services, home and community based services, and related hospital and prescription drug services rendered after attainment of the age of fifty-five.

X In addition to adjustment or recovery of payments for services listed above, payments are adjusted or recovered for other services under the State plan as listed below, but not including medical assistance for Medicare cost sharing (i.e., Part A & B premiums, deductibles, coinsurance and co-payments) for the following categories of dual eligibles: Qualified Medicare Beneficiary (QMB), Specified Low-Income Medicare Beneficiary (SLMB), Qualifying Individual (QI), Qualified Disabled and Working Individual (QDWI), QMB Plus, and SLMB Plus.

All costs of correctly paid medicaid services rendered after attainment of the age of fifty-five, if the person has not been determined to be permanently institutionalized, including any premium payments to managed care organizations.
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1917(b)(1)(C)

(4) X If an individual covered under a long-term care insurance policy received benefits for which assets or resources were disregarded as provided for in Attachment 2.6-A, Supplement 8c (State Long-Term Care Insurance Partnership), the State does not seek adjustment or recovery from the individual’s estate for the amount of assets or resources disregarded.

TN No. 07-005 Approval Date Nov 02 2007 Effective Date: 09/01/07

Supersedes
TN No. 01-002
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1917(b)(2)(B) 42 CFR §433.36(h) – (l)

(c) Adjustments or Recoveries: Limitations

The State complies with the requirements of section 1917(b)(2) of the Act and regulations at 42 CFR §433.36(h) – (l).

1917(b)(2)(A) (1) Adjustment or recovery of medical assistance correctly paid will be made only after the death of the individuals’ surviving spouse, and only when the individual has no surviving child who is either under age 21, blind, or disabled.

1917(b)(1)(B)(ii) (2) Medical assistance for Medicare cost sharing is protected from estate recovery for the following categories of dual eligibles [Qualified Medicare Beneficiary (QMB), Specified Low-Income Medicare Beneficiary (SLMB), Qualifying Individual (QI), Qualified Disabled and Working Individual (QDWI), QMB Plus, and SLMB Plus]. This protection extends to medical assistance for four Medicare cost sharing benefits (Part A and B premiums, deductibles, coinsurance and co-payments) with dates of service on or after January 1, 2010. The date of service for deductibles, coinsurance, and co-payments is the date the request for payment is received by the State Medicaid Agency. The date of service for premiums is the date the State Medicaid agency paid the premium.

1917(b)(3) (3) No money payments under another program are reduced as a means of adjusting or recovering Medicaid claims incorrectly paid.

TN# 09-021 Approval Date: JUN – 1 2010 Effective Date: 1/1/2010
Supersedes
TN# 07-007
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4.17 Liens and Adjustments or Recoveries, continued

(d) ATTACHMENT 4.17-A

(1) Specifies the procedures for determining that an institutionalized individual cannot reasonably be expected to be discharged from the medical institution and return home. The description of the procedure meets the requirements of 42 CFR 433.36 (d).

(2) Specifies the criteria by which a son or a daughter can establish that he or she has been providing care, as specified under 42 CFR 433.36 (f).

(3) Defines the following terms:
   - Estate,
   - Individual's home,
   - Equity interest in the home,
   - Residing in the home for at least 1 or 2 years,
   - On a continuous basis,
   - Discharge from the medical institution and return home, and
   - Lawfully residing.

(4) Describes the standards and procedures for waiving estate recovery when it would cause undue hardship.

(5) Defines when adjustment or recovery is not cost effective. Defines cost-effective and includes methodology or thresholds used to determine cost-effectiveness.

(6) Describes collection procedures. Includes advance notice requirements, specifies the method for applying for a waiver, hearing and appeals procedures, and the time frames involved.

TN No. 07-007
Supersedes
TN No. 01-002

Approval Date DEC 21 2007 Effective Date: 09/01/07